



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

2171.
#6
6-19-03
JMM

In re application of:

NAGRAL, et al.

Appln. No.: 09/880,393

Art Unit: 2171

Filed: June 13, 2001

Examiner: Not yet assigned

For: INFORMATION STORAGE AND
RETRIEVAL SYSTEM FOR STORING
AND RETRIEVING THE VISUAL FORM
OF INFORMATION FROM AN
APPLICATION IN A DATABASE

Docket No.: NGM-00102

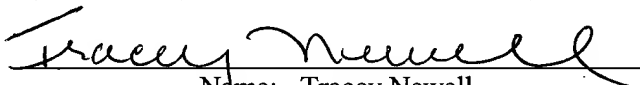
RECEIVED

JUN 12 2003

Certificate of Mailing

Technology Center 2100

I hereby certify that the foregoing documents are being deposited with the United States Postal Service as first class mail, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 10, 2003.


Name: Tracey Newell

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicant and/or their attorney in compliance with the requirements of 37 C.F.R. 1.56. Copies of the documents are also being submitted.

In accordance with 37 C.F.R. 1.97(b)(4), Applicant believes that no fee is due since this Information Disclosure Statement (IDS) is submitted before the mailing of the first Office Action. The documents listed as A1, A2, Exhibit A through Exhibit Y and Tab 1 through 4 on

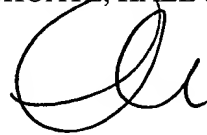
the PTO-1449 form being submitted herewith are in connection with a litigation matter for U.S. Patent No. 6,260,044.

The Examiner is respectfully requested to initial the space adjacent to each document on the PTO-1449 form and return a copy of the PTO-1449 form to confirm that these documents have been considered by the Examiner and made of record in this application.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 03-1721**. Two originally-executed copies of this form are being submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at 617-248-4042.

Respectfully submitted,
CHOATE, HALL & STEWART



Anne E. Saturnelli
Registration No. 41,290

Date: June 10, 2003

Patent Group
Choate, Hall & Stewart
Exchange Place
53 State Street
Boston, MA 02109-2804



PTO/SB/08B (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/880,393
				Filing Date	June 13, 2001
				First Named Inventor	Ajit S. NAGRAL, et al.
				Art Unit	2171
				Examiner Name	Not Yet Assigned
Sheet	1	of	3	Attorney Docket Number	NGM-00102

RECEIVED
JUN 12 2003
Technology Center 2100

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	A1	Declaration of Chang H. Kim in Support of Scientific Software, Inc.'s Opposition to Nugenesis Technologies Corporation's Motion for Preliminary Injunction	<input type="checkbox"/>
	Exhibit A	Preliminary Amendment dated January 19, 2001	<input type="checkbox"/>
	Exhibit B	American Pharmaceutical Review – The Quarterly Review of American Pharmaceutical Business & Technology (Volume 3, Issue 2, Summer 2000)	<input type="checkbox"/>
	Exhibit C	Methods and Apparatus for Storing, Retrieving and Manipulating Data Produced by Different Windows 3.1, Windows 95 and Windows NT Application Programs by Mantra Software Corp., et al.	<input type="checkbox"/>
	Exhibit D	Affidavit of Ajit S. Nagral	<input type="checkbox"/>
	Exhibit E	Nugenesis Technologies Corporation's Response to Defendant/Counterclaimant Scientific Software, Inc.'s First Set of Interrogatories dated November 12, 2001	<input type="checkbox"/>
	Exhibit F	Defendant/Counterclaimant Scientific Software, Inc.'s Supplemental Responses to Nugenesis First Set of Interrogatories dated November 16, 2001	<input type="checkbox"/>
	Exhibit G	Functional Specification for LabTALK V1.0 by FITZ BUSH	<input type="checkbox"/>
	Exhibit I	Copy of postcard regarding application filed on February 4, 1998 entitled Methods and Apparatus for Storing, Retrieving and Manipulating Data Produced by Different Windows 3.1, Windows 95 and Windows NT Application Programs by NAGRAL, et al.	<input type="checkbox"/>

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/08B (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	09/880,393	
			Filing Date	June 13, 2001	
			First Named Inventor	Ajit S. NAGRAL, et al.	
			Art Unit	2171	
			Examiner Name	Not Yet Assigned	
Sheet	2	of	3	Attorney Docket Number	NGM-00102

RECEIVED
JUN 12 2003
Technology Center 2100

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	Exhibit J	Letter to Mark J. Danielson, Esq. dated December 28, 2001 by Anastasia M. Fernands	<input type="checkbox"/>
	Exhibit K	Functional Specification for NuGenesis V1.1 dated June 9, 1998 by FITZ BUSH	<input type="checkbox"/>
	Exhibit L	Mantra Software Meeting Memo dated November 14, 1997 regarding new requirements for first release	<input type="checkbox"/>
	Exhibit M	San Jose Demo and Pfizer Demo dated 10/16/97	<input type="checkbox"/>
	Exhibit N	Purchase Order from Pfizer Inc. to Mantra Software Corp. dated December 16, 1997	<input type="checkbox"/>
	Exhibit O	Invoice from Mantra Software Corporation to Pfizer Inc. dated June 4, 1998	<input type="checkbox"/>
	Exhibit P	Mantra Software Employee Travel Report for week ended October 18, 1997 by Greg Murphy	<input type="checkbox"/>
	Exhibit Q	Letter to Anastasia M. Fernands, Esq. dated December 7, 2001 from Mark J. Danielson	<input type="checkbox"/>
	Exhibit R	Invoice from Mantra Software Corporation dated January 13, 1999 to Computerised Information Technology LTD	<input type="checkbox"/>
	Exhibit S	Request for Refund dated April 15, 1999	<input type="checkbox"/>

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/08B (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	09/880,393	
			Filing Date	June 13, 2001	
			First Named Inventor	Ajit S. NAGRAL, et al	
			Art Unit	2171	
			Examiner Name	Not Yet Assigned	
Sheet	3	of	3	Attorney Docket Number	NGM-00102

RECEIVED JUN 12 2003 Technology Center 2100

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	Exhibit T	Issue Fee Transmittal dated April 17, 2001	<input type="checkbox"/>
	Exhibit U	Nugenesis Technologies Customer Base Expands 50%	<input type="checkbox"/>
	Exhibit V	Quotation No. 53 from Mantra Software Corporation to Pfizer/Paul Aldridge dated November 24, 1997	<input type="checkbox"/>
	Exhibit W	Copy of Attorney Advisor (Melvin T. Axilbund) regarding abandonment dated March 30, 1999	<input type="checkbox"/>
	Exhibit X	U.S. Department of Commerce Patent and Trademark Office Fee Record Sheet regarding Trademark Application Serial No. 75390271 dated December 2, 1997	<input type="checkbox"/>
	Exhibit Y	Mantra Software Corporation Bay Area Investors Meeting Agenda, Silicon Valley Capital Club, San Jose, CA dated January 15, 1998	<input type="checkbox"/>
	Tab 1	Deposition of Michael E. McGuinness dated January 7, 2002, Volume 1, pages 1-220	<input type="checkbox"/>
	Tab 2	Deposition of Donald W. Muirhead dated December 17, 2001, Volume 1, pages 1-73	<input type="checkbox"/>
	Tab 3	Deposition of Ajit Nagral dated December 14, 2001, Volume 1, pages 1-176	<input type="checkbox"/>
	Tab 4	Deposition of Gregory P. Murphy, dated January 9, 2002, Volume 1, pages 1-89; Deposition of Fitzhugh Bush, III dated January 8, 2002, Volume 1, pages 1-168	<input type="checkbox"/>
	A2	Nugenesis Technologies Corporation's Response to Scientific Software, Inc.'s Counterclaims	<input type="checkbox"/>

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.